

Guidelines for the preparation of research proposals for the CNPq in collaboration with proposals submitted to EU Horizon 2020

Horizon 2020 (H2020) is the European Union Research and Innovation programme offering nearly € 80 billion of funding over 7 years (2014 to 2020).

H2020 proposals can include researchers, academic and non-academic institutions (including enterprises) from countries outside the European Union (EU). However, while the EU will fund the participation of partners from EU enlargement and neighboring countries and from developing countries, it does not automatically fund the partners from industrialized countries and emerging economies such as Brazil¹. Participants from such countries must obtain funding from other sources.

Researchers eligible for funding by the National Council for Scientific and Technological Development (CNPq) can use the normal modes of funding offered by this Council to support their participation in H2020 proposals. The guidelines below offer instructions to this end.

1. General information for the applicants

1.1. Proposal preparation and submission

1) CNPq will receive proposals associated to H2020 programmes at any time in connection with H2020 Calls. Please note that H2020 has its own deadlines, which must be met by the proponents from the EU.

The Horizon 2020 calls for proposals can be accessed at:

<http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/h2020/index.html>

2) The proposal submitted to CNPq will be accepted for review only if it makes clear that the co- Principal Investigator (co-PI) in Research or Higher Education in Brazil had a relevant participation² in the design of the complete research project submitted to H2020, and that the resulting collaboration will contribute to the scientific and technological development of the country.

3) The proposal submitted to H2020 must make clear that the Brazilian co-PI will lead a relevant part of the research effort.

¹ http://ec.europa.eu/programmes/horizon2020/sites/horizon2020/files/Factsheet_international_participation.pdf

² Examples: within the H2020 submitted project, is leader of work packages and/or tasks, or whose participation is fundamental for carrying out specific activities described in the H2020 Proposal.

- 4) The proposal submitted to CNPq must:
- a) Be written in English.
 - b) Follow the guidelines for the particular CNPq funding modalities chosen by the proposer, including the directives described in the present guidelines.
 - c) Clearly mention in the title as well as in the page and introductory section that it is part of a proposal submitted to H2020.
 - d) Indicate details of the linked proposal submitted to H2020:
 - i) Name the title and acronym;
 - ii) Call reference³;
 - iii) Name of the Coordinating Institution, Name of the Project Manager, as indicated in the proposal (key staff section), and PIC Number (the Horizon 2020 Institution Participant Identification Code -.PIC).
 - e) Include a short section (maximum two pages) demonstrating why the participation of the co-PI from Brazil is relevant for the H2020 research project and describe the impact and importance for the scientific and technological development of the country.
 - f) Include as an Appendix a full copy of the proposal submitted to H2020 in the EU, including Part A (Details on Project Partners and on the Overall Project Budget) and Technical Annex Part B (description of the Project).

1.2. Assessment

- 1) The assessment of the proposal submitted to CNPq will follow the standard procedures used by this Council for analysis and selection of research proposals. Assessment made by CNPq shall keep the H2020 proposal as confidential.
- 2) CNPq's reviewers and panel members shall evaluate in particular: how the collaboration of the co-PI in the country is scientifically relevant, integrated to the EU proposal, and determinant to the success of the whole proposal; that the co-PI has participated actively in the conception, and in the preparation of the proposal.
- 3) CNPq will fund the proposal only if it is also approved by H2020. The CNPq Award Term ("Termo de Outorga") will only be available for signature upon the submission of the Grant Agreement with which the EU awards the grant to the consortium.

³Call reference is the title (Programme/Topic) and number of the call

1.3. Additional information

- 1) Proposals must follow the CNPq guidelines for the particular funding modality chosen by the proposer, including directives described in the present guidelines
- 2) Additional information can be obtained by sending a consultation to:
 - a) CNPq: horizonte2020.cgcin@cnpq.br
 - b) Horizon 2020: DELEGATION-BRAZIL-CIENCIA@eeas.europa.eu